

## ADDENDUM TO LOAN GUARANTEE ENROLLMENT FORM

California Pollution Control Financing Authority (CPCFA)  
Attention CalCAP Program  
915 Capitol Mall, Rm. 457  
Sacramento, CA 95814

Borrower Name \_\_\_\_\_  
Lender Loan # \_\_\_\_\_

The undersigned Lender hereby applies to the California Pollution Control Financing Authority (CPCFA) for funds to pay the Borrower's, Lender's, and CPCFA's premium for participation in the California Capital Access Program (CalCAP). The lender will submit this form with the standard CalCAP Loan Enrollment Form to CPCFA. **For Lender assistance, please call the CPCFA staff at 916.654.5610.**

### SECTION I

**By initialing and signing, the Borrower certifies that each statement below is true and correct.**

1. \_\_\_\_\_ The Borrower will use the loan proceeds only to purchase marine vessels and diesel-powered engines for early compliance with the ARB's Commercial Harbor Craft (CHC) Regulation. Eligible purchases with loan proceeds will only be for the following:
  - i. Engine repower: Upgrade to a cleaner Tier 2 (T2), Tier 3 (T3), or Interim Tier 4 (T4i) (off-road only) engine that meets or is cleaner than the current U.S. Environmental Protection (US EPA) model year marine/off-road engine emission standards.
  - ii. Vessel Replacement: Engine is a cleaner T2, T3, or T4i certified engine.
  - iii. Retrofit: Installation of an ARB-verified diesel emission control device.

#### Marine Vessel owners

2. \_\_\_\_\_ The Borrower certifies that he/she has received funding from the Carl Moyer Memorial Air Quality Standards Attainment Program.

(If certified, write in Applicant Name, Project Title, and Contract Execution Date)

3. If the Borrower received funding from any other ARB incentives program, write the incentives program title below:

#### Marine Engine owners

4. The Borrower certifies that he/she has complied with the ARB CHC regulation reporting requirements. A copy of the initial report which is updated with the latest 2011 information is attached.
5. \_\_\_\_\_ The Borrower certifies that he/she will continue to follow the requirements of the ARB CHC regulation.
6. \_\_\_\_\_ The Borrower certifies that he/she will scrap their old vessel or engine by allowing ARB staff or a designee to conduct a post-inspection for verification and documentation through photographic or video evidence that the existing (old) vessel or engine is destroyed and rendered permanently unusable and irreparable. Additionally, the borrower has 90 days from post-inspection to provide an evidence of scrappage to CPCFA.
7. \_\_\_\_\_ The Borrower certifies that the replacement marine vessel or engine is of the same type and similar horsepower as the vessel or engine being replaced.
8. \_\_\_\_\_ The Borrower certifies that the replacement marine vessel or engine performs the same function as the vessel or engine being replaced.
9. \_\_\_\_\_ The Borrower understands and agrees that the loan proceeds cannot be used for marine vessel or engine replacements that would have occurred through normal attrition. Normal attrition is generally defined as a replacement or repower that is scheduled to take place between now and the end of the project period. Typically, the marine vessel should have been operational in the previous year.
10. \_\_\_\_\_ The Borrower certifies that the new vessel or engine will not be used to expand the current marine fleet size.
11. \_\_\_\_\_ The Borrower will use loan proceeds only to pay for ancillary parts associated with the engine power and installation of an ARB-verified diesel emissions control device(s) or ARB-certified marine diesel engine(s).

**SECTION II Completed by Borrower and verified by Dealer**

**Additional Information for Completion by the Borrower**

<b>Vessel Purchases</b>					
	Marine Vessel Type (e.g., Fishing Boat)	Engine Manufacturer (e.g., Caterpillar)	Tier Level <sup>a</sup> of Engine (Pre-Tier 1, Tier 1, Tier 2, Tier 3, and Interim Tier 4)	Engine Serial Number	Horsepower of Engine
Vessel Purchase					
Replaced Vessel					

  

<b>Repower Purchases</b>					
	Marine Vessel Type (e.g., Fishing Boat)	Engine Manufacturer (e.g., Caterpillar)	Tier Level <sup>a</sup> (Pre-Tier 1, Tier 1, Tier 2, Tier 3, and Interim Tier 4)	Engine Serial Number	Horsepower of Engine
Engine Purchase					
Replaced Engine					

  

<b>For ARB-Verified Diesel Emission Control Device Purchases</b>			
	Manufacturer	Model	Retrofit Verification Level (Level 2 <sup>b</sup> )
Emission Control Device			

<sup>a</sup> Purchases must have Tier Levels 2, 3, or 4i engines. Loans will not be issued for purchase of Pre-Tier 1 or Tier 1 engines. T4i is for off-road only.

<sup>b</sup> 50 percent or greater reduction in particulate matter.

**SECTION III Signatures**

**BORROWER**

\_\_\_\_\_  
(Business Name (where applicable))

\_\_\_\_\_  
(Print Borrower's Name)

\_\_\_\_\_  
(Business Address)

\_\_\_\_\_  
(Phone Number)

\_\_\_\_\_  
(Borrower's Signature)

\_\_\_\_\_  
(Date)

**DEALER**

\_\_\_\_\_  
(Print Name of Dealership)

\_\_\_\_\_  
(Dealer's Address)

\_\_\_\_\_  
(Phone Number)

\_\_\_\_\_  
(\*Authorized Representative Dealer's Signature)

\_\_\_\_\_  
(Date)

\*By signing, the dealer verifies the accuracy of information in section II. In addition, if designated by borrower, the dealer will provide documentation on proper disposition of the marine vessel or engine in accordance with the Marine Vessel and Engine Disposition Instructions (attached).

**LENDER**

\_\_\_\_\_  
(Print Name of Participating Financial Institution)

\_\_\_\_\_  
(Phone Number)

\_\_\_\_\_  
(\*Authorized Lender's Signature)

\_\_\_\_\_  
(Date)

\*By signing, the lender certifies that the loan funds will be used in accordance with the applicable EPA and OMB regulations.

\_\_\_\_\_  
(\*CPCFA Signature and Title)

\_\_\_\_\_  
(Date)

\*CPCFA has reviewed this document to ensure all signatures and applicable information is included.

## Marine Vessel and Engine Disposition Instructions

All engines replaced as part of a marine vessel repower project and approved for loan assistance through CalCAP must be scrapped consistent with the requirements of the [2011 Carl Moyer Program Guidelines Chapter 3: Section BB](#).

- For a vessel purchase or engine replacement, the old vessel or engine must be scrapped. The ARB staff or their designee must conduct a post-inspection to verify that the existing (old) vessel or engine is destroyed and rendered permanently unusable and irreparable. The inspector must provide verification and documentation through photographic or video evidence that the destroyed vessel or engine serial number matches that on the Borrower's Eligibility Criteria and Certification Form.

*Note: Please contact the ARB's Commercial Harbor Craft Hotline at (888)-442-7238 or email [harborcraft@arb.ca.gov](mailto:harborcraft@arb.ca.gov) to arrange for a post-inspection.*

- If the borrower or dealer is a Carl Moyer Program grantee, the post-inspection is already part of the Program guidelines. The borrower or dealer must provide verification and documentation of old vessel or engine scrappage through photographic or video evidence.

